

1 **Earthquake rupture dependence on hypocentral location along the Nicoya Peninsula**
2 **subduction megathrust**

3 Hongfeng Yang^{*1}, Suli Yao¹, Bing He¹, and Andrew Newman²

4 ¹ Earth System Science Programme, The Chinese University of Hong Kong, Shatin, Hong
5 Kong, China

6 ² School of Earth and Atmospheric Sciences, Georgia Institute of Technology, Atlanta, GA,
7 USA

8 *Corresponding author (hyang@cuhk.edu.hk)
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10 **Abstract**

11 Although interseismic locking distributions have been used in qualitatively evaluating
12 the future earthquake potential, quantitatively estimating how an earthquake may rupture
13 through the locked interface is a more useful tool for quantifying both seismic and tsunami
14 hazards. Here, we investigate rupture scenarios from interseismic locking models along the
15 megathrust interface below Nicoya peninsula, Costa Rica using spontaneous rupture
16 simulations. We first estimate initial stress from locking, then initiate spontaneous ruptures at
17 different nucleation points and observe the eventual earthquake magnitudes and slip
18 distribution. We find that ~40% of nucleations tested develop into large earthquakes of
19 $M_w > 7.2$ based on present interseismic locking models. Of these events, those nucleated from
20 deeper depths have a tendency for larger-amplitude shallow slip, suggesting increased tsunami
21 potential. Furthermore, irrespective of the input locking models we do not observe rupture
22 scenarios of earthquakes with intermediate magnitudes between 6 and 7, a result consistent
23 with observations in Nicoya. The results of hypocentre-dependent earthquake magnitudes and
24 tsunamigenic potential not only pose challenges in estimating rupture extents from locking
25 models, but also underscore the significance of quantitatively evaluating seismic and tsunami
26 hazard in subduction zones.

27
28 **Keywords:** interseismic locking; spontaneous rupture simulation; hypocentre-dependent
29 earthquake magnitude; near-field tsunami early warning.

30 1. Introduction

31 In past decade, interseismic locking distribution derived from geodetic studies has
32 significantly improved our understanding of a future earthquake's potential, in particular at
33 subduction zones where great earthquakes occur, sometimes causing devastating tsunami (e.g.
34 *Moreno et al.*, 2010; *Wang et al.*, 2012; *McCaffrey et al.*, 2013). However, considerable
35 uncertainties exist in such interseismic locking models depending on different material
36 rheology properties, subduction fault geometry, and dataset that are used to constrain the
37 locking. One fundamental limit comes from the fact that the locked-then-ruptured megathrust
38 interface resides almost exclusively offshore in subduction zone environments, with limited
39 constraints from inland observations. A typical example is the Cascadia subduction zone where
40 dense on-land geodetic networks are available. Although it is believed the Cascadia megathrust
41 is locked, the present locking models show considerable controversies in the locking degree
42 and downdip spatial extent along Central Oregon (*Burgette et al.*, 2009; *McCaffrey et al.*, 2013;
43 *Schmalzle et al.*, 2014; *Pollitz and Evans*, 2017; *Li et al.*, 2018). Even for a subduction
44 environment such as the Nicoya Peninsula of Costa Rica, where the locked-then-ruptured patch
45 is almost entirely located under land, different locking models have been obtained using
46 different datasets and megathrust geometry (*Feng et al.*, 2012; *Xue et al.*, 2015; *Kyriakopoulos*
47 *and Newman*, 2016). Thus, it remains unclear how to infer future earthquake sizes from the
48 locking models.

49 Quantitative evaluations of future rupture scenarios from interseismic locking
50 distributions were performed in a few subduction zones. For instance, *Hok et al.* (2011)
51 developed dynamic rupture scenarios of anticipated Nankai-Tonankai earthquakes, southwest
52 Japan using a boundary integral method. They concluded that rupture segmentation was
53 associated with the prescribed along-strike variation of fracture energy. Because we do not
54 know when the next Nankai-Tonankai earthquake will occur, we cannot yet compare the
55 numerical rupture scenarios with field observations recorded by modern instruments. More
56 recently, *Yang et al.* (2019) derived rupture scenarios from locking models in the Nicoya
57 Peninsula, Central America, and then validated the numerical results with kinematic source
58 models of the 2012 M_w 7.6 Nicoya earthquake, which occurred directly under the peninsula.
59 The rupture was initiated at the hypocentre of the 2012 Nicoya earthquake and spontaneously
60 propagated on the fault plane (*Yang et al.*, 2019). The final moment magnitude, coseismic slip
61 distribution, and moment rate function are well consistent with those kinematic solutions (*Yue*
62 *et al.*, 2013), demonstrating that, for the first time, deriving a reasonable approximation of the
63 coseismic slip distribution and rupture process from interseismic locking is feasible (*Yang et*
64 *al.*, 2019).

65 Although it seems possible to derive reliable rupture scenarios (*Yang et al.*, 2019), it is
66 critical that such a model also accurately describes rupture nucleation from the hypocentre of
67 the 2012 Nicoya earthquake. Indeed, we do not know where future earthquakes may nucleate
68 (*Lapusta and Rice*, 2003; *Hori et al.*, 2004), owing to our limited understanding of loading and
69 the heterogeneous distribution of stress and fault strength. Even after the occurrence of an
70 earthquake, e.g. the 2012 Nicoya earthquake, hypocentre bears uncertainties depending on data
71 used and method of determination (*Quintero et al.*, 2014; *Yue et al.*, 2013). For example, the
72 hypocentre used to derive kinematic source process in *Yue et al.* (2013) corresponds to a low
73 stress environment in both locking models (*Feng et al.*, 2012; *Xue et al.* 2015). Because we do
74 not know where future earthquakes may nucleate solely based on locking models, we
75 investigate the effects of nucleation positions on coseismic rupture scenarios.

76 In this study, we conduct spontaneous rupture simulations based on interseismic locking
77 models by considering a range of hypocentral locations. Our geographical focus is the Nicoya
78 Peninsula because it protrudes seaward, forming a unique environment allowing near-field
79 inland geodetic observations directly above one of the locked patches (Figure 1). As such, the

80 locking models in the region bear much less uncertainties than those in other subduction zones.
 81 We will derive hypocentral dependent rupture scenarios from two late-interseismic locking
 82 models that were derived along the same curvilinear megathrust geometry, one building on
 83 trench-normal and vertical GPS from 1996 to 2010 (Feng *et al.*, 2012) while the other one also
 84 incorporating trench-parallel GPS and line-of-sight Interferometric Synthetic Aperture Radar
 85 (InSAR) data from 2007 to 2011 that may have improved some resolution of features (Xue *et*
 86 *al.*, 2015). Even with the most precise and dense land-based geodetic data, offshore
 87 resolvability of megathrust interface features drop-off rapidly, and the ability to recover
 88 substantial locking or slip information more than 20 km offshore is negligible (Williamson and
 89 Newman, 2018). Fore-knowledge of such limitations was one of the reasons that Nicoya was
 90 chosen for continued study, because the location of the peninsula places the locking and
 91 seismogenic slip region directly under land, and available for detailed analysis.

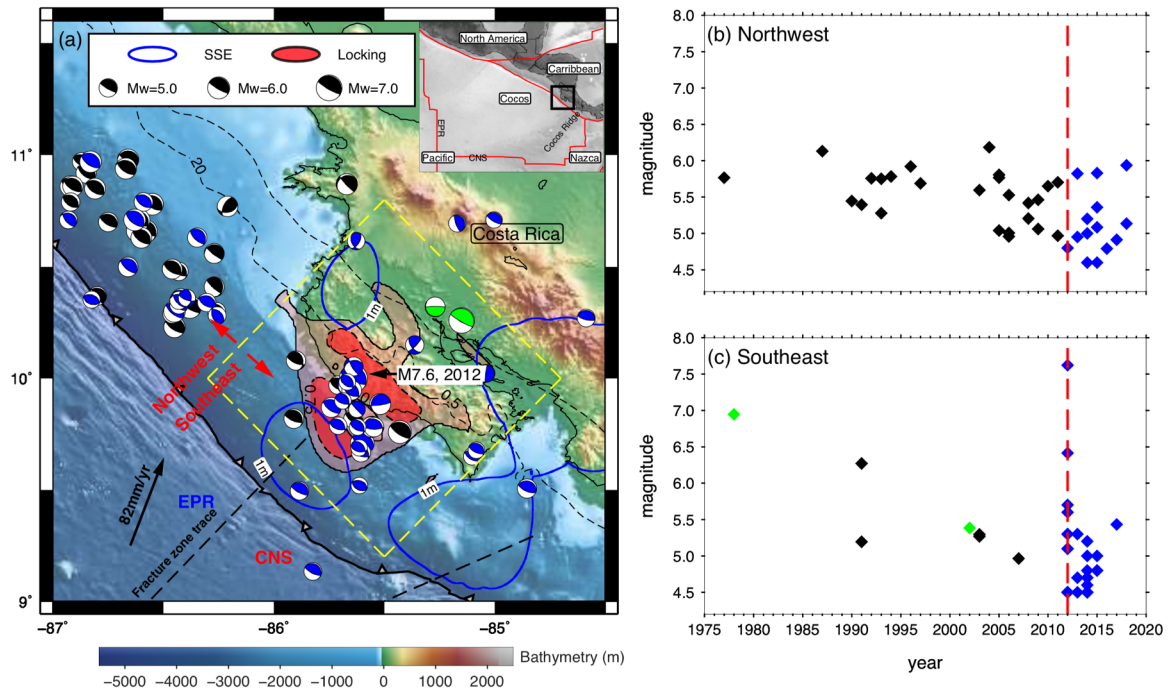


Figure 1: (a) (inset) Tectonic setting of the Nicoya Peninsula. The interseismic locking contours (locking degrees of 0.75 and 0.5) and moment tensor solutions of interface earthquakes in the region. Colours of beach balls show occurrence time before (black: GCMT) and after (blue: GCMT and Chaves *et al.*, 2017) the 2012 mainshock, as well as inland locations (green). Areas with slow slip events are shown in blue polygons, which represent 1 m of accumulative slip. Thin dashed lines show slab top depth contours of 20 and 40 km (Kyriakopoulos *et al.*, 2015), respectively. EPR: East Pacific Rise; CNS: Cocos-Nazca spreading center. (b) Magnitude and occurrence time of interface earthquakes northwest to (b) and beneath the peninsula (c). Colours correspond to the beach balls in panel (a).

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93 2. Method and model set up

94 Here we conduct spontaneous rupture simulations using a finite element package, Pylith
 95 (Aagaard *et al.*, 2013). A number of ingredients to simulating dynamic ruptures play critical
 96 roles in the results, including fault geometry and material properties, as well as appropriately
 97 estimating the initial stress distribution and constitutive parameters. A smoothly curved fault
 98 geometry that was used to invert for the locking models (Feng *et al.*, 2012; Xue *et al.*, 2015) is
 99 used to generate the mesh. The fault model created by Feng and later used by Xue was created
 100 from a central 2D transect of 3D relocated microseismicity within the central Nicoya Peninsula
 101 (see Feng *et al.* 2012), corresponding to the central locked region. This model remains a good
 102 approximation for the northern portion of Nicoya, however southern Nicoya does have a

103 higher-standing plateau as later clearly observed in Kyriakopoulos et al. (2015). As shown in
104 *Yang et al.*, (2019), rupture scenarios in the Nicoya region appear to be independent on material
105 properties. Therefore, we incorporate a half space material property in our domain, which
106 extends 180 km along-strike, 170 km perpendicular to strike, and 80 km at depth. All
107 boundaries except for the free surface are set as absorbing boundaries to avoid energy reflected
108 from the boundary surfaces.

109 The fault is governed by the linear slip-weakening law (*Ida*, 1972). Effective normal
110 stress, $\bar{\sigma} = \sigma - p$, is the difference between normal stress σ and pore-pressure p . The pore-
111 pressure in subduction zones is suggested to be close to lithostatic at the up-dip and down-dip
112 ends of the seismogenic zone (*Saffer and Tobin*, 2011). Therefore, effective normal stress can
113 be approximated by a constant as discussed by *Rice* (1992). For simplicity, the effective normal
114 stress is taken as 50 MPa, as is commonly used in previous studies (e.g., *Yang et al.*, 2012;
115 *Weng and Yang*, 2017). The critical slip distance is taken as a spatially uniform constant, 0.4
116 m, consistent with the average value of the 2012 Nicoya earthquake that was estimated from
117 near-field observations (*Yao and Yang*, 2018).

118 To estimate the initial stress distribution, it is critical to create a sufficiently good slip
119 deficit and rupture history approximation. Here, we follow the approach adopted in *Yang et al.*
120 (2019). Our primary limitation is that we assume that immediately after the prior earthquake,
121 the fault locks up, and that the features we saw in the late interseismic are the same as they
122 were just after the last event. With this assumption, the slip deficit can be summed as the linear
123 accumulation of stress build-up between events. This assumption is reasonable in the Nicoya
124 region, because nearly no interface earthquakes with magnitudes larger than 6 occurred in the
125 locked region between the more characteristic M_w 7.5+ megathrust events (Figure 1). Thrust
126 earthquakes with moment magnitudes larger than 5 are also limited according to the GCMT
127 catalogue (Figure 1), indicating very little seismic release of the slip deficit on the megathrust.
128 Moreover, detected slow slip events (SSEs) in the region have very small spatial overlaps with
129 the locked patches (*Dixon et al.*, 2014). If the overlapped region did slip in slow slip events
130 before the 2012 M_w 7.6 earthquake, our slip deficit will be slightly overestimated because SSEs
131 usually slip in centimetres in an individual event. Thus, the potential effects of SSEs on slip
132 deficit in the locking regions are minimal and would not significantly affect our results.
133 Furthermore, we test potential impact of uncertainties in slip deficit on our rupture scenarios
134 by considering a backslip rate 11 mm/yr higher than the plate convergence rate (82 mm/yr).
135 The moment magnitudes and final slip distribution derived from both locking models are not
136 significantly affected (Figure S1), reassuring that uncertainties in the amplitude of the slip
137 deficit play little roles in our results.

138 We next assume that the accumulated slip deficit will be completely released by a large
139 ($M > 7$) earthquake because we aim at evaluating the worst scenario. Thus the slip deficit is used
140 to calculate the static stress drop of the earthquake. Since the last M_w 7.5+ 1950 earthquake,
141 the stress build-up $\Delta\tau$ is calculated from the slip deficit for 62 years (1950-2012). The initial
142 stress τ_0 is then calculated from the stress drop and a constant dynamic friction coefficient
143 (*Weng and Yang*, 2018; *Yang et al.*, 2019), showing a heterogeneous distribution corresponding
144 to the locking models (Figure 2a&d). High stress regions generally correlate with patches of
145 high locking degrees (>0.75) because the variation in locking degrees is rather smooth in the
146 two locking models. However, the highest stress regions appear to locate near the edge of the
147 down-dip locked patch (Figure 2a&d), where the locking gradient is the largest. Fault strength
148 τ_s is assumed to be spatially uniform and is set to be slightly larger than the maximum initial
149 stress (*Yang et al.*, 2019). Because the locking models are regularized by smoothing
150 parameters, generally that minimize the second-order derivative of slip (first-order derivative
151 of strain or stress), and a selective choice is made that will control the resultant fault stress field,
152 the fields derived here should be considered in a relative sense.

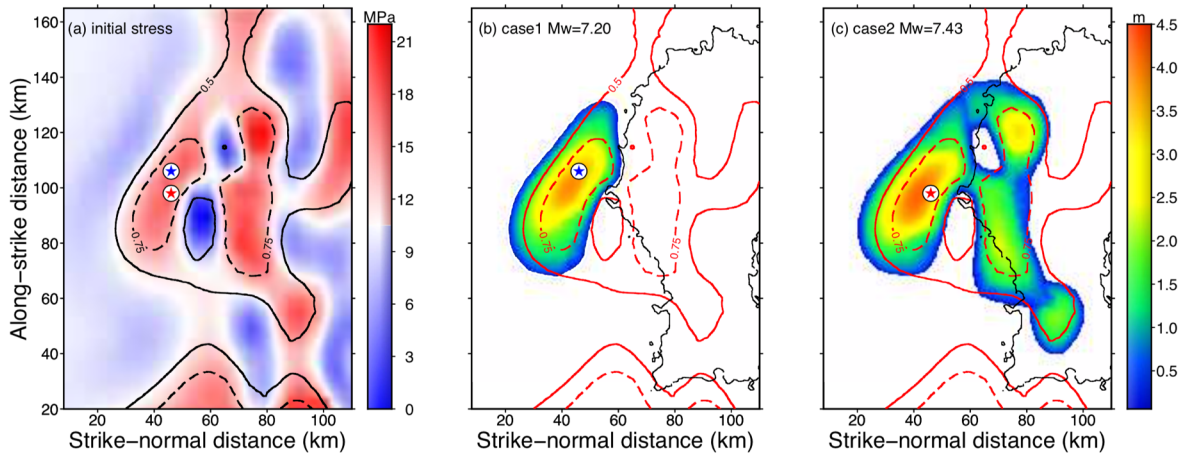


Figure 2: (a) Initial stress distribution that is derived from interseismic locking distribution (Xue *et al.*, 2015). Blue and red stars denote two nucleation zones at the same depth, having identical initial stresses and sizes (3 km). (b) and (c), Slip distribution of scenario earthquakes that were initiated from the blue (b) and red (c) stars. Solid and dashed lines show the locking model. (d), (e), and (f) are same to (a), (b), and (c) panels, respectively, except these are for the Feng locking model (Feng *et al.*, 2012).

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3. Effect of nucleation zone locations on coseismic ruptures

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Given the heterogeneous stress distribution, the rupture is expected to nucleate from the high stress regions subject to tectonic loading at greater depths. However, the SSEs surrounding the locked patches may potentially promote coseismic ruptures initiating from the shallow region (Figure 1). Indeed, seven SSEs were identified in the Nicoya region from April 2007 to April 2012, with irregular interval (4-16 months) and summed slip comparable to that of the 2012 Nicoya earthquake but in a much more expanded area (Dixon *et al.*, 2014). Furthermore, although it is not quantified, heterogeneous strength distribution on the megathrust is implied by seismic studies (Audet and Schwartz, 2013; Chaves and Schwartz, 2016), and thus the rupture may start from any location in the locking region. Therefore, we divide the locking region into an 8 by 9 grid and nucleate the rupture in each node (Figure 3a) to observe the eventual rupture scenarios.

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Since we focus on the coseismic process, we artificially nucleate ruptures in a circular patch by decreasing the strength 0.01 MPa lower than the average initial stress to avoid non-uniform stress excess at different locations. We also ensure a reasonable rupture speed (<0.8 Vs) within the nucleation zone to minimize the artificial nucleation effects (Bizzarri, 2010). It has been discussed in details how the sizes of nucleation zones vary in different locations given a heterogeneous stress distribution (Ripperger *et al.*, 2007; Yang *et al.*, 2019). Here we adopt a few circular radii (i.e. 3, 4, and 5 km) as the nucleation sizes. We consider 5 km as our upper limit because it corresponds to the typical rupture dimension of an M6 earthquake (~ 10 km). Consequently, rupture scenarios with magnitudes less than 5 should be ignored because of our lower limit on the nucleation size.

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The resultant 216 rupture scenarios are dependent on the location of nucleation within the grid due to the heterogeneous stress distribution. Even for two ruptures that started in neighbouring locations at the same depth with nearly identical initial stress level, the eventual slip distributions and earthquake magnitudes are distinctly different (Figure 2). For instance, the rupture nucleated relatively north (blue star in Figure 2a) within the high locking area (>0.75) in the Xue locking model only breaks the updip locked patch, forming an M_w 7.2 earthquake (Figure 2b). In contrast, the rupture initiated next to it (red star in Figure 2a) has successfully propagated into the downdip locked patch and ends with an M_w 7.4 earthquake, twice of the moment released by the former one (Figure 2c). In addition, the slip distribution

185 exhibits appreciable heterogeneity (Figure 2) in the downdip high locking region (>0.75). Such
 186 pattern also holds true for the Feng locking model, in which one rupture nearly broke the entire
 187 locked patches (Figure 2e) while the other one nucleating from the neighbouring location did
 188 not propagate far from the hypocentre (Figure 2f), highlighting the dominant effects of
 189 heterogeneous stress on rupture propagation.

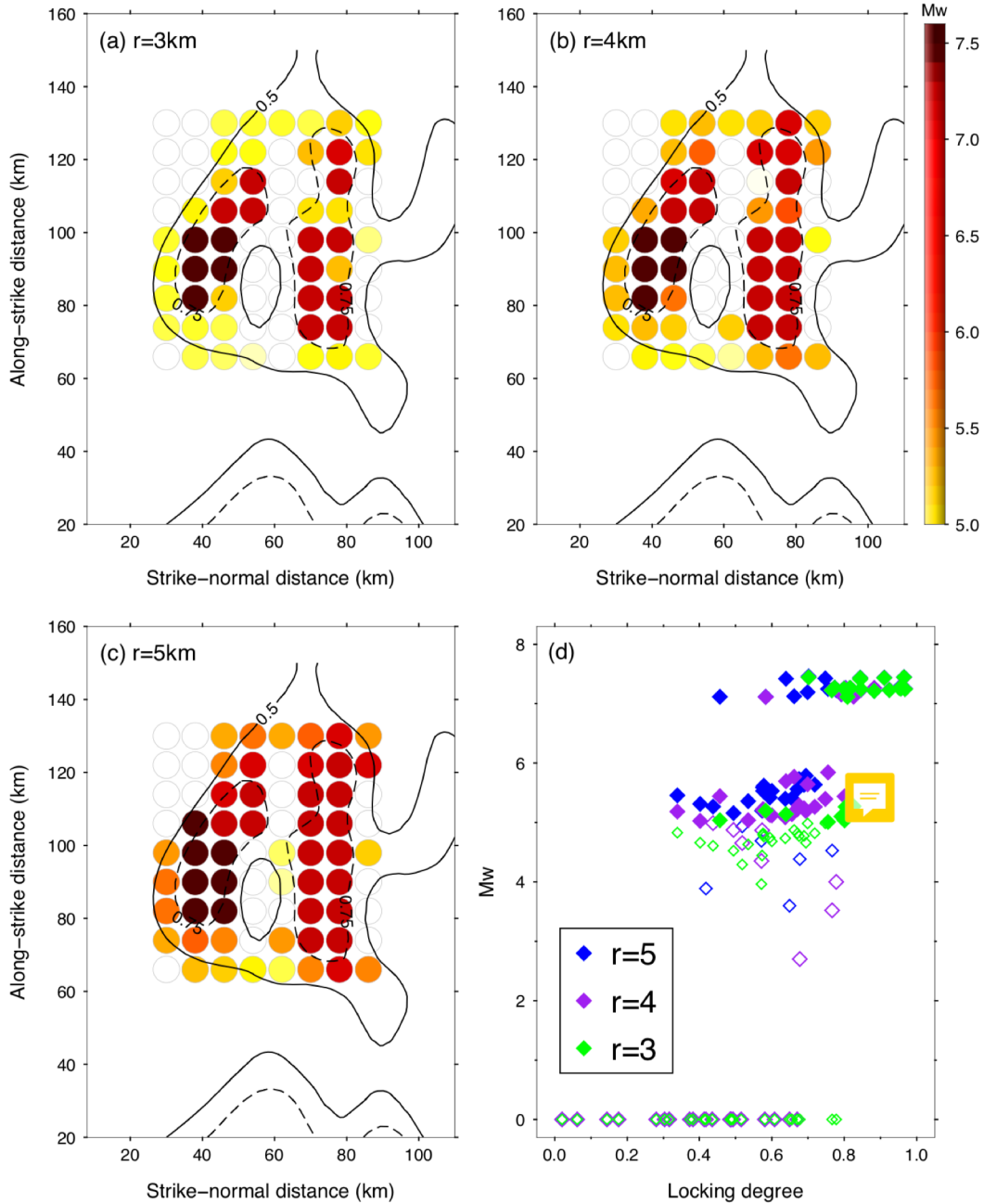


Figure 3: (a), (b), and (c) Map view of the locking degree (contours) and moment magnitudes of rupture scenarios nucleated at each location (circles). Each panel shows the results of different nucleation sizes (3, 4, and 5 km). (d) Relationship between the locking degree and moment magnitudes of location-dependent rupture scenarios, with colours representing different nucleation sizes (3, 4, and 5 km).

190 We then summarize all earthquake magnitudes in our model results. In general, most
 191 ruptures initiated in high locking regions (locking degree > 0.75) will develop into earthquakes
 192 with $M_w \geq 7.2$ in the Nicoya region (Figure 3). However, ruptures started from the moderate
 193 locking region ($0.5 < \text{locking degree} < 0.75$) form smaller earthquakes with M_w 5 to 6. Such
 194 trend does not change with the different nucleation sizes (Figure 3d), although at the same

195 location the earthquake magnitude increases with the nucleation size. One striking feature is
196 that we do not observe any earthquakes with magnitudes between 6 and 7 (Figure 3d).

197 We performed the same analysis for the Feng locking model, and found that despite
198 difference in the spatial distribution of locking degrees and the resultant rupture scenarios, the
199 hypocentre-dependent magnitudes are still observed (Figure 4). In regions with locking degree
200 greater than 0.55, less than 50% of nucleation zones could generate $M_w > 7$ earthquakes (Figure
201 4). Similarly, we do not observe earthquakes with magnitudes of 6 to 7, indicating that the
202 magnitudes of earthquakes are controlled by heterogeneous stress levels on the megathrust if
203 the strength is uniform.
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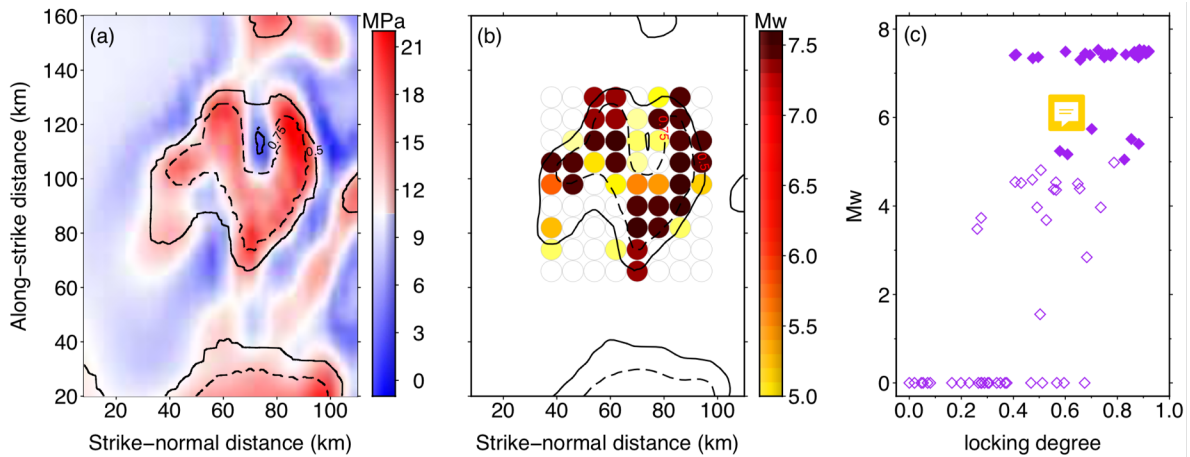


Figure 4: Same with Figure 3, except for the Feng locking model.

205 4. Discussion

206 4.1 Heterogeneous stress distribution and earthquake magnitude

207 Although ruptures in this study are artificially initiated, our results are not simply the
208 consequence of nucleation processes. We ensure that stress excess in each nucleation node is
209 identical (i.e. 0.01 MPa) so that the hypocentral dependent earthquake magnitudes are not
210 controlled by the variation in stress excess. It is also shown in our models that nucleating
211 ruptures at regions with nearly identical initial stresses lead to different magnitudes and
212 scenarios (Figure 2), reflecting the dominant effects on rupture extents of the heterogeneous
213 stress distribution. Indeed, stress field heterogeneity has been shown in a number of studies to
214 significantly modulate rupture propagation (e.g., *Ripperger et al.*, 2007; *Yang et al.*, 2012; *Yang*
215 *et al.*, 2013; *Weng et al.*, 2015).

216 The hypocentral dependence of magnitudes is a result of the “effective” seismogenic
217 zone induced by the variation in difference between the initial stress and yield strength
218 (uniform in this study) on the fault. As shown in a recent numerical experiment considering
219 finite downdip seismogenic width (*Weng and Yang*, 2017), ruptures may spontaneously stop
220 without additional structural or stress barriers on a fault with a small seismogenic width. These
221 ruptures are termed self-arresting ruptures, which are caused by the insufficient rupture kinetic
222 energy that is needed to overcome the fracture energy on the fault so that the rupture may
223 continue propagating. In comparison, a fault having large seismogenic width can host large
224 earthquakes because ruptures can spontaneously propagate and break the entire seismogenic
225 zone (*Weng and Yang*, 2017), termed breakaway ruptures. In our models, these $M7+$
226 earthquake scenarios break at least one highly locked patch because the rupture started in
227 relatively large “effective” seismogenic region and then propagated much further. Otherwise,
228 they may just form smaller magnitude events (Figure 3&4) due to the limited “effective”
229 seismogenic zone bounded by heterogeneous stress distribution.

230 In our model, the heterogeneous stress results from the spatial variation in locking where
231 larger gradients lead to higher stress (Yin *et al.*, 2016; Yin *et al.*, 2017; Weng and Yang, 2018).
232 In both locking models (Feng *et al.*, 2012; Xue *et al.*, 2015), the grid size on the megathrust is
233 5 km and the resolution is more than 20 km so that strong variations in a small spatial scale
234 may not be captured. Again, the same caveat about considering only the relative nature of these
235 results is because they are derived from subjectively smoothed locking models. The faults are
236 likely much more heterogeneous, forming smaller asperities that cannot be easily observed
237 with surface geodetic techniques. If such small asperities rupture, we should then observe a
238 large number of moderate interface earthquakes, which is however not the case in the Nicoya
239 region (Figure 1). From 1976 to September 2012, we only find 7 earthquakes with Mw 5+ from
240 the GCMT catalog that had thrust mechanisms (Figure 1). Five out of the seven events are
241 located in the locking region, while others are outside (Figure 1a). For ~82 mm/yr of
242 convergence (DeMets *et al.*, 2010), the lack of more frequent moderate earthquakes implies
243 strong locking with limited small-scale heterogeneities, which were perhaps caused by seafloor
244 roughness as is immediately to the south (Bilek *et al.* 2003). The magnitude gap (M6-7) in our
245 numerical results, irrespective of the input locking models, is consistent with observations
246 beneath Nicoya prior to the 2012 mainshock, in which earthquakes with magnitudes between
247 5.5 and 7 are very rare (Figure 1c). Even if we consider the time window after the mainshock,
248 the magnitudes of most aftershocks do not exceed 5.5 (Chaves *et al.*, 2017). Thus the magnitude
249 gap may reflect to some extents the spatial heterogeneity of stress and locking in the Nicoya
250 region.

251 In contrast, there are a number of shallow thrust earthquakes with magnitudes 5-6+ in the
252 region northwest to the locked patches (Figure 1), indicating abundant small asperities. High-
253 resolution locking models based on geodetic data are not available due to the lack of constraint
254 on the shallow megathrust. But this portion is likely creeping, and the creeping is associated
255 with numerous normal faulting fabrics and potentially subducted seamounts (Wang and Bilek,
256 2013).

257 **4.2 Implications on earthquake and tsunami hazard assessment**

258 In addition to estimating the locations and sizes of potential earthquakes, practical
259 seismic assessments should also forecast local ground shaking to evaluate hazard and mitigate
260 risk. Our results show that, although two earthquake scenarios initiating from high locking
261 regions may have the same magnitude in the Nicoya peninsula, the ground motion could be
262 drastically different due to rupture directivity. To exemplify this, we nucleated two earthquakes
263 with similar magnitudes (i.e. 7.42 and 7.49) from the Feng locking model, while one initiated
264 from offshore and the other one nucleated inland (Figure 5). For the two cases, the ruptures
265 break both offshore and beneath land, resulting in similar final slip distribution (Figure 5 a&b).

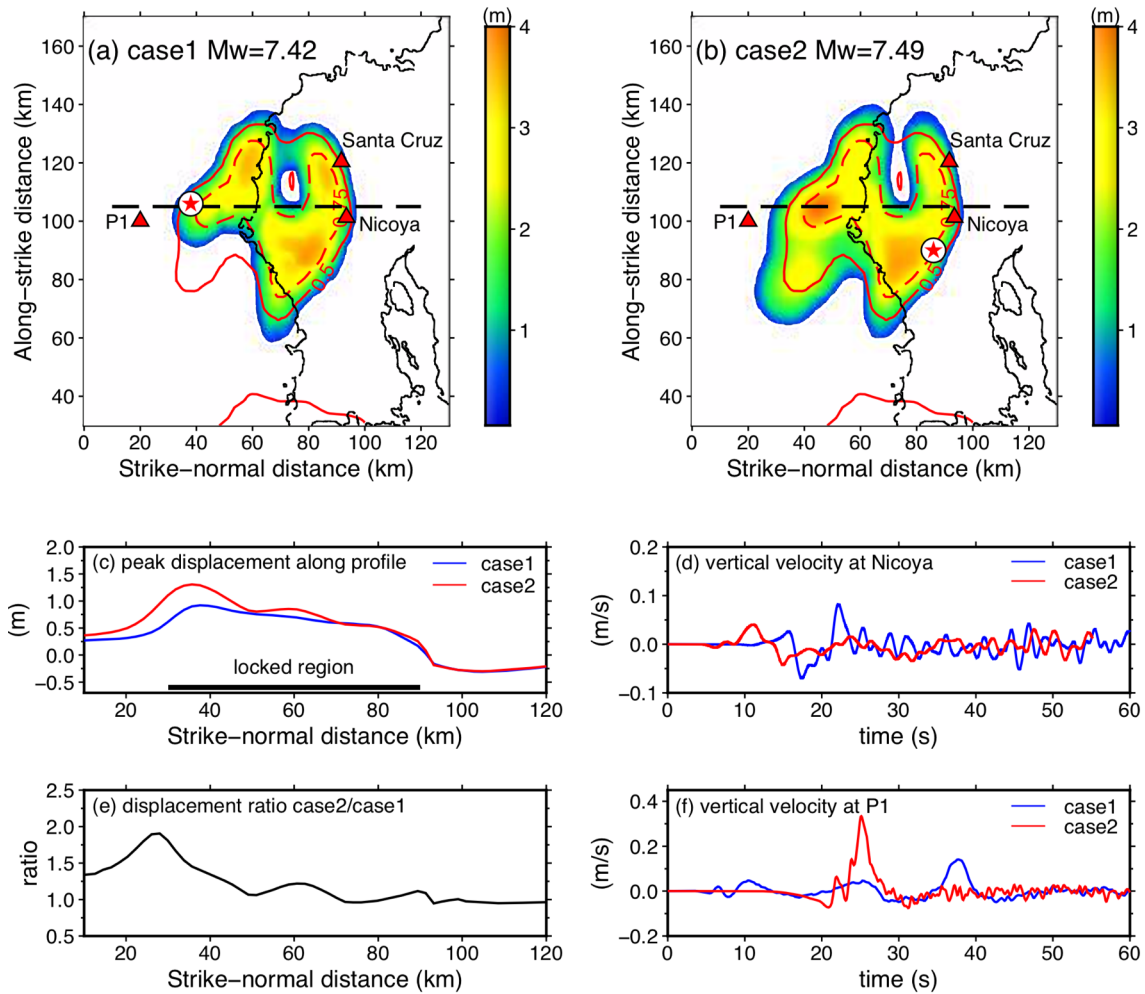


Figure 5: Final slip distribution of rupture scenarios nucleated at two different locations, offshore (a) and inland (b), using the Feng locking model (contours) with nucleation sizes of 4 km. Dash lines show a profile to compare ground displacements. Triangles denote hypothetical stations, one offshore (P1) and two at towns of Nicoya and Santa Cruz. Peak displacements (c) and final slip distribution (e) along the profile from the two rupture scenarios. Vertical velocities recorded at Nicoya (d) and P1 (f) from the two scenarios, respectively.

266 When the rupture initiated from inland (Figure 5b), the up-dip propagating rupture would lead
 267 to much higher ground velocity offshore, as shown in the record of a hypothetical station at P1
 268 (Figure 5f); while the down-dip rupture will produce nearly as twice as large of peak-to-peak
 269 vertical velocity at the town of Nicoya (Figure 5d), despite that the town is much closer to the
 270 epicentre of up-dip case rupture (Figure 5b).

271 In addition to playing roles in ground shaking, the rupture directivity affects shallow slip
 272 distribution and potential tsunami generation. For the rupture nucleated offshore (Figure 5a),
 273 the peak ground displacements along a profile (i.e. along-strike distance equals to 105km) show
 274 uplift of the ruptured area, with the maximum displacement of ~ 0.9 m near the epicentre
 275 (Figure 5c). In contrast, the rupture nucleated from below land, and propagating up-dip, would
 276 lead to larger shallow slip (Figure 5e) and ground displacements (Figure 5c) along the profile
 277 than in the prior scenario, due to the rupture directivity. In the offshore region, the ground
 278 displacement could be amplified nearly twice (Figure 5c), and such up-dip environment is more
 279 prone to tsunami excitation.

280 We then summarize the slip distributions for all rupture scenarios nucleating from
 281 different hypocentres that have nearly identical moment magnitudes (>7.4). For ruptures
 282 initiating from shallow depths (i.e. <18 km) in the Nicoya region (Figure 6a), the downdip slip

283 amplitudes are similar with those nucleating from greater depths (Figure 6b). In addition, the
 284 amplitudes and depths of peak slip show quite good consistency in the deeper part with those
 285 during the 2012 Nicoya earthquake, which nucleated from offshore (Yue *et al.*, 2013). In
 286 contrast, the slip at shallow depths (< 15 km) resulting from deeper hypocentres are obviously
 287 larger than those nucleating at shallow depths (Figure 6b). Such systematically larger shallow
 288 slips from deeper nucleation points will lead to larger ground displacements offshore, which in
 289 turn increase the tsunamic-genetic potential.

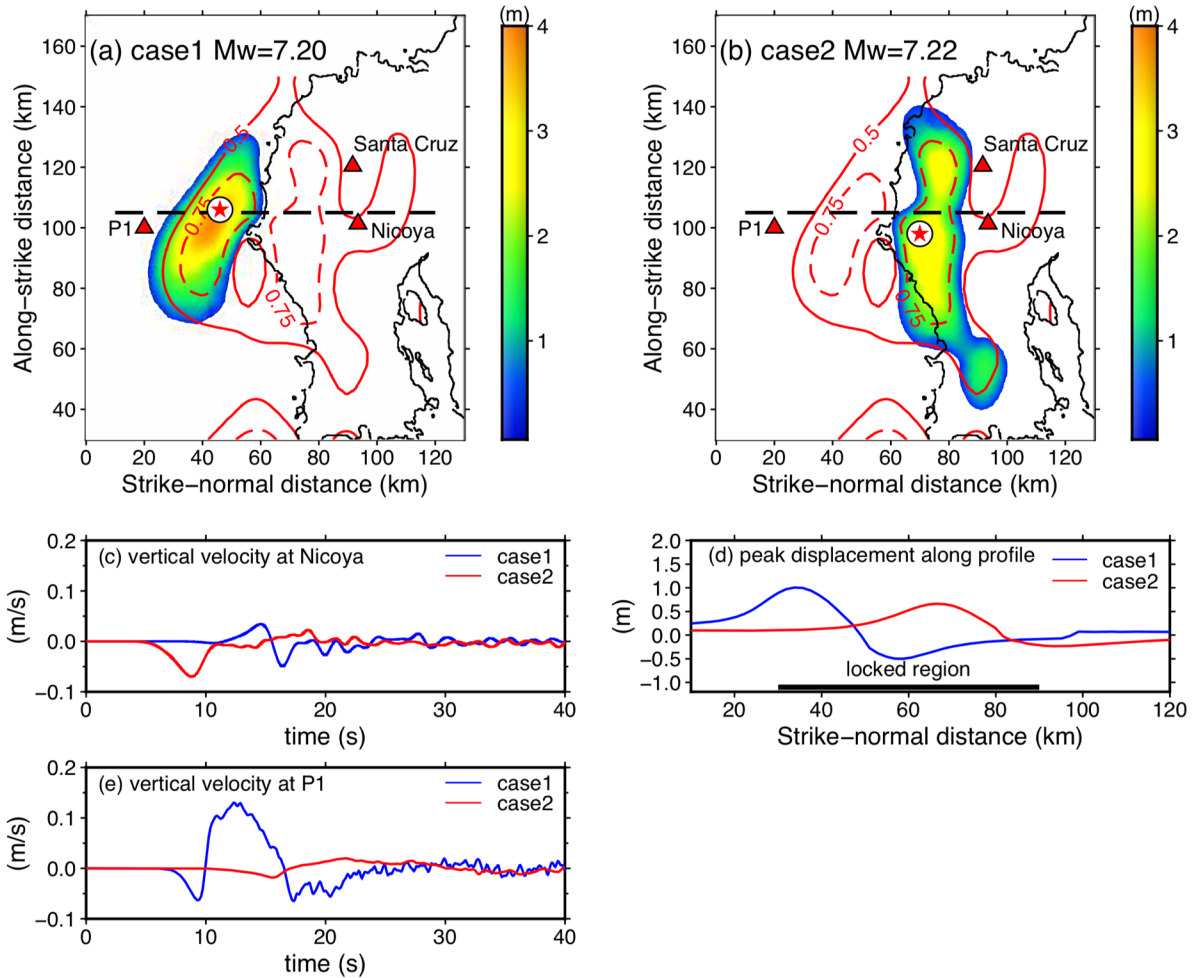


Figure 6: (a) Updip (light blue) and downdip (red) nucleation locations with nearly identical final moment magnitudes ($M_w > 7.4$) of rupture scenarios. Contours show the locking distribution. (b) Slip distributions from all rupture scenarios along a profile (red dash line) shown in panel (a). Red and blue lines show the average values, and the shaded areas indicate the 1- σ confidence. Black dashed line is from Yue's kinematic source model (Yue *et al.*, 2013).

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291 Interestingly, the M_w 7.7 1950 Nicoya earthquake, while centered similarly below the
 292 peninsula, did create a meter-level tsunami across portions of the southern peninsula, and up
 293 to 10 cm inside the Gulf of Nicoya, despite having 12 years less to rebuild since its last major
 294 event in 1900 (Protti *et al.*, 2001). Perhaps the major difference between the 1950 and 2012
 295 events are not the prior locking, but where nucleation initiated, the 1950 event initiating down-
 296 dip (Guendel, 1986), and the 2012 earthquake initiating up-dip (Yue *et al.*, 2013).

297 Megathrust earthquakes may generate tsunami by causing significant vertical seafloor
 298 displacement or less commonly by generating submarine landslides. As shown above, based
 299 on Feng's locking model, the rupture that nucleated from the high-locking region generated
 300 substantially larger ground displacements on the seafloor due to up-dip rupture directivity
 301 (Figure 5), and may lead to tsunami generation. If we can produce a large number of ground

302 motion database for each rupture scenario at different hypocentres with a reliable locking
303 model, then the seafloor ground movements can lead to tsunami wave height prediction along
304 the coast by conducting numerical simulation of tsunami waves, given appropriate bathymetric
305 information. In the most optimistic case, an earthquake hypocentre can be automatically
306 determined with high-resolution by local seismic network, and can then be directly used to
307 predict potential tsunami wave heights from the precomputed database from one of the
308 determined scenario runs. A more realistic approach would be to include a probability-
309 distribution function of scenario inundations for a given hypocentre and an estimation of error
310 in its location. Because tsunami waves propagate much slower than seismic waves, such
311 approach would be effective for near-field earthquake-induced tsunami early-warning.

312

313 5. Conclusions

314 In this study, we quantitatively evaluate potential earthquake sizes and rupture scenarios
315 from interseismic locking models and demonstrate that the initiation point of the rupture plays
316 critical roles in controlling the earthquake sizes due to the heterogeneous stress distribution.
317 Our results show that ruptures initiating from high locking zones more likely grow into larger
318 earthquakes, with 40% becoming $M > 7.2$ in Nicoya peninsula. Irrespective of the input locking
319 models we do not find scenario ruptures that have magnitudes of 6-7, which is largely
320 consistent with observations and probably reflects to some extents the degree of stress
321 heterogeneity in the Nicoya peninsula. Furthermore, the rupture directivity effects are critical
322 in ground shaking and displacement prediction given the same earthquake magnitude, shedding
323 lights on near-field tsunami early warning. The results of hypocentre-dependent earthquake
324 magnitudes in a given interseismic locking distribution pose challenges, yet the numerically
325 derived rupture scenarios can be used for evaluating earthquake and tsunami hazard.

326

327 **Acknowledgement:** The authors thank support from Hong Kong Research Grant Council
328 Grants (24601515, 14313816, 14306418), CUHK Direct Grant from Faculty of Science, China
329 Earthquake Science Experiment Project, CEA (grant no. 2017CESE0103), and State Key Lab
330 of Earthquake Dynamics (grant no. LED2017B07), Institute of Geology, CEA. The authors
331 also appreciate constructive comments from two anonymous reviewers.

332

333 Data availability: All results in this study are generated from numerical simulations and can be
334 requested by contacting the corresponding author.

335

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